

F. No. ND/CT/23/000099
Government of India
Directorate General of Health Services
Central Drugs Standard Control Organization
New Drugs Division

FDA Bhawan, Kotla Road,
New Delhi-110002
Dated:

To
M/s Novartis Healthcare Private Limited,
Inspire BKC, 7th floor, Bandra Kurla Complex,
Bandra (East) , Mumbai (India) – 400051

Subject: Grant of permission to conduct Phase IV Clinical Trial title “A real-world, prospective, multi-center, open-label, phase 4 clinical study to evaluate the safety and effectiveness of subcutaneous injection of Inclisiran sodium in Indian patients with primary hypercholesterolemia or mixed dyslipidemia” vide protocol no. CKJX839A1IN03, Version No:v00 (Original Protocol), dated: 25-Oct-2023-regarding.

Reference: Letter Ref. No. ND/CT04/FF/2023/41008 dated 19-DEC-2023.

Sir,

With reference to your application no. ND/CT04/FF/2023/41008 dated 19-DEC-2023, please find enclosed herewith the permission in Form CT-06, No. **CT/ND/08/2024** to conduct the subject mentioned clinical trial under the provisions of New Drugs and Clinical Trial Rules, 2019.

This permission is subject to the conditions, as mentioned below.

Yours faithfully,

RAJEEV SINGH
RAGHUVANSHI
(Dr. Rajeev Singh Raghuvanshi)
Central Licensing Authority

Digitally signed by RAJEEV SINGH RAGHUVANSHI
DN: c=IN, o=CENTRAL DRUGS STANDARD CONTROL
ORGANIZATION, ou=RAJEEV SINGH RAGHUVANSHI,
2.5.4.20=806276a23e4eab8a239774cdeb032769041015a06564f
e67f54b765db1cb, postalCode=600034, st=TAMIL NADU,
serialNumber=657f5447d940985c8b038dc9620831f73cf1a2a1
A128A94F6570129A19013, cn=RAJEEV SINGH RAGHUVANSHI
Date: 2024.04.24 12:42:44 +05'30'

Conditions of Permission

- (i) Clinical trial at each site shall be initiated after approval of the clinical trial protocol and other related documents by the Ethics Committee of that site, registered with the Central Licensing Authority under rule 8;
- (ii) Where a clinical trial site does not have its own Ethics Committee, clinical trial at that site may be initiated after obtaining approval of the protocol from the Ethics Committee of another trial site; or an independent Ethics Committee for clinical trial constituted in accordance with the provisions of rule 7:

Provided that the approving Ethics Committee for clinical trial shall in such case be responsible for the study at the trial site or the centre, as the case may be:

Provided further that the approving Ethics Committee and the clinical trial site or the bioavailability and bioequivalence centre, as the case may be, shall be located within the same city or within a radius of 50 kms of the clinical trial site;

- (iii) In case an ethics committee of a clinical trial site rejects the approval of the protocol, the details of the same shall be submitted to the Central Licensing Authority prior to seeking approval of another Ethics Committee for the protocol for conduct of the clinical trial at the same site;
- (iv) The Central Licensing Authority shall be informed about the approval granted by the Ethics Committee within a period of fifteen working days of the grant of such approval;
- (v) Clinical trial shall be registered with the Clinical Trial Registry of India maintained by the Indian Council of Medical Research before enrolling the first subject for the trial;
- (vi) Clinical trial shall be conducted in accordance with the approved clinical trial protocol and other related documents and as per requirements of Good Clinical Practices Guidelines and the provisions of these rules;
- (vii) Status of enrolment of the trial subjects shall be submitted to the Central Licensing Authority on quarterly basis or as appropriate as per the duration of treatment in accordance with the approved clinical trial protocol, whichever is earlier;
- (viii) Six monthly status report of each clinical trial, as to whether it is ongoing, completed or terminated, shall be submitted to the Central Licensing Authority electronically in the SUGAM portal;
- (ix) In case of termination of any clinical trial the detailed reasons for such termination shall be communicated to the Central Licensing Authority within thirty working days of such termination;
- (x) Any report of serious adverse event occurring during clinical trial to a subject of clinical trial, shall, after due analysis, be forwarded to the Central Licensing Authority, the chairperson of the Ethics Committee and the institute where the trial has been conducted within fourteen days of its occurrence as per Table 5 of the Third Schedule and in compliance with the procedures as specified in Chapter VI of the New Drugs and Clinical Trials Rules, 2019;
- (xi) In case of injury during clinical trial to the subject of such trial, complete medical management and compensation shall be provided in accordance with the Chapter VI of the said Rules and details of compensation provided in such cases shall be intimated to the Central Licensing Authority within thirty working days of the receipt of order issued by Central Licensing Authority in accordance with the provisions of the said Chapter.
- (xii) In case of clinical trial related death or permanent disability of any subject of such trial during the trial, compensation shall be provided in accordance with the Chapter VI and details of compensation provided in such cases shall be intimated to the Central Licensing Authority within thirty working days of receipt of

the order issued by the Central Licensing Authority in accordance with the provisions of the said Chapter;

- (xiii) The premises of the sponsor including his representatives and clinical trial sites, shall be open for inspection by officers of the Central Licensing Authority who may be accompanied by officers of the State Licensing Authority or outside experts as authorized by the Central Licensing Authority, to verify compliance of the requirements of these rules and Good Clinical Practices Guidelines, to inspect, search and seize any record, result, document, investigational product, related to clinical trial and furnish reply to query raised by the said officer in relation to clinical trial;
- (xiv) Where the New Drug or Investigational New Drug is found to be useful in clinical development, the sponsor shall submit an application to the Central Licensing Authority for permission to import or manufacture for sale or for distribution of new drug in India, in accordance with Chapter X of these rules, unless otherwise justified;
- (xv) The Laboratory owned by any person or a company or any other legal entity and utilised by that person to whom permission for clinical trial has been granted used for research and development, shall be deemed to be registered with the Central Licensing Authority and may be used for test or analysis of any drug for and on behalf of Central Licensing Authority;
- (xvi) The Central Licensing Authority may, if considered necessary, impose any other condition in writing with justification, in respect of specific clinical trials, regarding the objective, design, subject population, subject eligibility, assessment, conduct and treatment of such specific clinical trial;
- (xvii) The sponsor and the investigator shall maintain the data integrity of the data generated during clinical trial.
- (xviii) Informed Consent Documents (ICD) viz. Patient Information Sheet (PIS) and Informed Consent Form (ICF) complete in all respect & must be got approved from the respective Ethics committee and submitted to CDSCO before enrolling first subject at the respective site.
- (xix) The Informed Consent Document including ICF and Patient Information Sheet should clearly mention in understandable language about the details of the drug therapy that the patient may or may not receive.

FORM CT-06*(See rules 22, 25, 26, 29 and 30)***PERMISSION TO CONDUCT CLINICAL TRIAL OF NEW DRUG OR INVESTIGATIONAL
NEW DRUG**

The Central Licensing Authority hereby permits **M/s Novartis Healthcare Private Limited**, Inspire BKC, 7th floor, Bandra Kurla Complex, Bandra (East), Mumbai (India) - 400051 Telephone No.: 9833045806 FAX: 2250243000 E-Mail : **NHPL.DRASUGAM@NOVARTIS.COM** to conduct clinical trial of the new drug as per protocol No. **CKJX839A1IN03**, Version No: **v00 (Original Protocol)**, dated: **25-Oct-2023** in the below mentioned clinical trial sites.

2. Details of new drug or investigational new drug:

Names of the new drug or investigational new drug:	Inclisiran solution for injection in prefilled syringe 284 mg/1.5 ml
Therapeutic class:	Cholesterol lowering small interfering RNA
Dosage form:	Solution for injection
Composition:	Each PFS unit contains 284 mg Inclisiran (equivalent to 300 mg Inclisiran sodium) in 1.5 ml solution.
Indications:	Inclisiran is indicated in adults with primary hypercholesterolaemia (heterozygous familial and non-familial) or mixed dyslipidaemia, as an adjunct to diet: -in combination with a statin or statin with other lipid lowering therapies in patients unable to reach LDL-C goals with the maximum tolerated dose of a statin, or -alone or in combination with other lipid lowering therapies in patients who are statin intolerant, or for whom a statin is contraindicated

Details of clinical trial sites-

Sr. No.	Name of Principal Investigator & Trial sites	Ethics Committee Name/Registration Number
1.	Dr Ashwani Mehta, Sir Ganga Ram Hospital, SirGanga Ram Hospital Marg, Rajinder Nagar, Delhi-110060	Sir Ganga Ram Hospital Ethics Committee, Room Number 1496, 4th Floor, Old Building, Sir Ganga Ram Hospital, Old Rajinder Nagar, New Delhi-110060. ECR/20/Inst/DL/2013/RR-2019
2.	Dr. Abraham Oomman, Apollo Hospitals, No:645 & 646, T.H. Road, Tondiarpet, Chennai-600081 Tamil Nadu India.	Institutional Ethics Committee- Clinical Studies, Apollo Hospitals Enterprises Limited, No. 21, greams lane, off greams road, Thousand Lights, Chennai - 600006, Tamil

		Nadu India. ECR/37/Inst/TN/2013/RR-19
--	--	---

3. This permission is subject to the conditions prescribed in part A of Chapter V of the New Drugs and Clinical Trials Rules, 2019 under the Drugs and Cosmetics Act, 1940.

RAJEEV SINGH
RAGHUVANSHI
(Dr. Rajeev Singh Raghuvanshi)
Central Licensing Authority

Digitally signed by RAJEEV SINGH RAGHUVANSHI
DN: c=IN, o=CENTRAL DRUGS STANDARD CONTROL
ORGANIZATION, ou=RAJEEV SINGH RAGHUVANSHI,
2.5.4.20=80c62f6a23e4eafbe8a239774cdeb03c2769041
015a06564fe67f54b765db1cb, postalCode=600034,
st=TAMIL NADU,
serialNumber=657F5F47D940985D8F03BDC902D0E1FE
SINGH RAGHUVANSHI
Date: 2024.04.24 12:43:01 +05'30'

New Delhi

Date: